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COMPLIANCE ROUND UP

MONTHLY COMPLIANCE ROUNDUP

OCTOBER 2026



MONTHLY NEWSLETTER SUMMARY

The month saw **939** legal and regulatory changes across Centre and States and across various categories.

REGULATORY CHANGES IN OCTOBER 2026

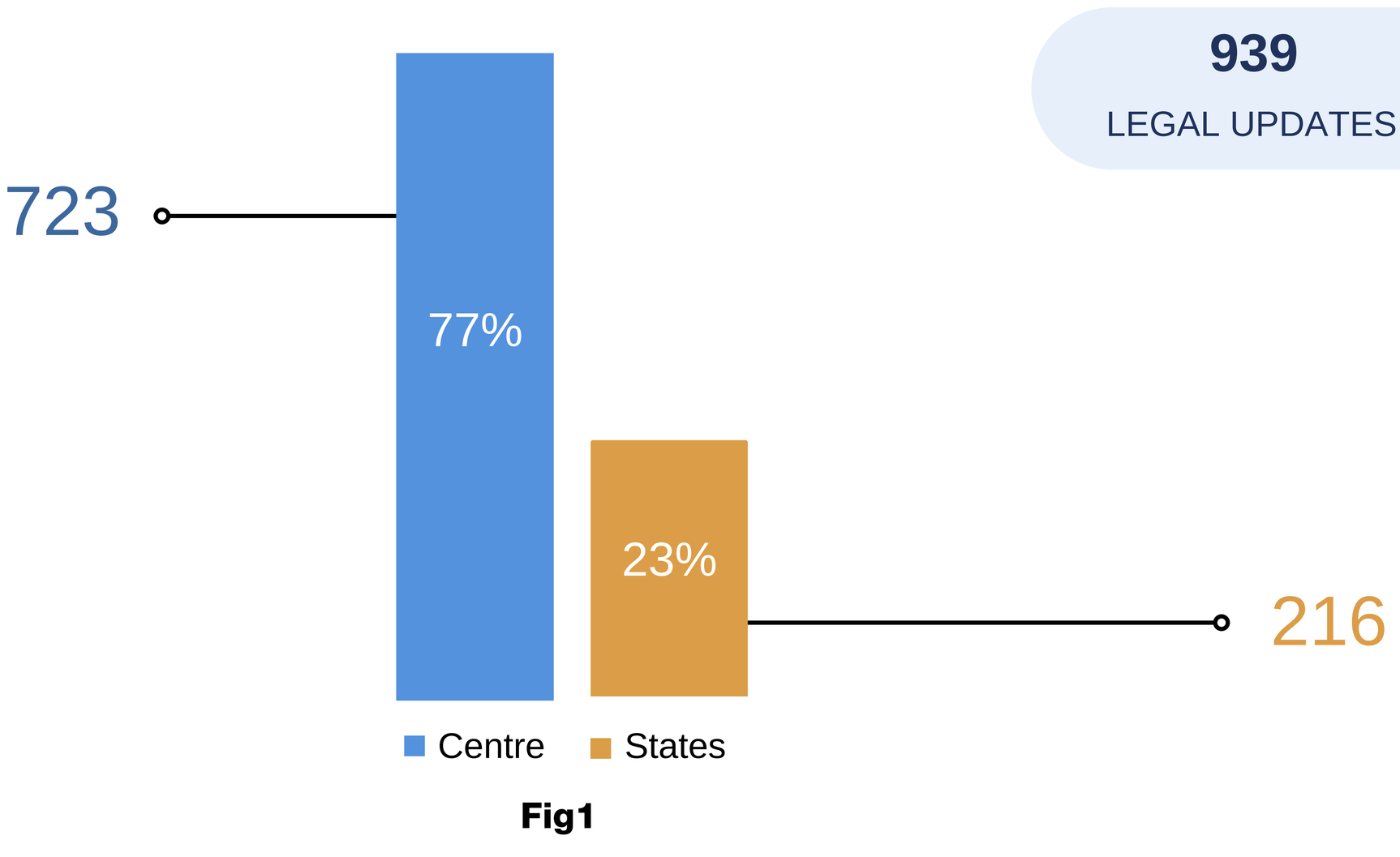
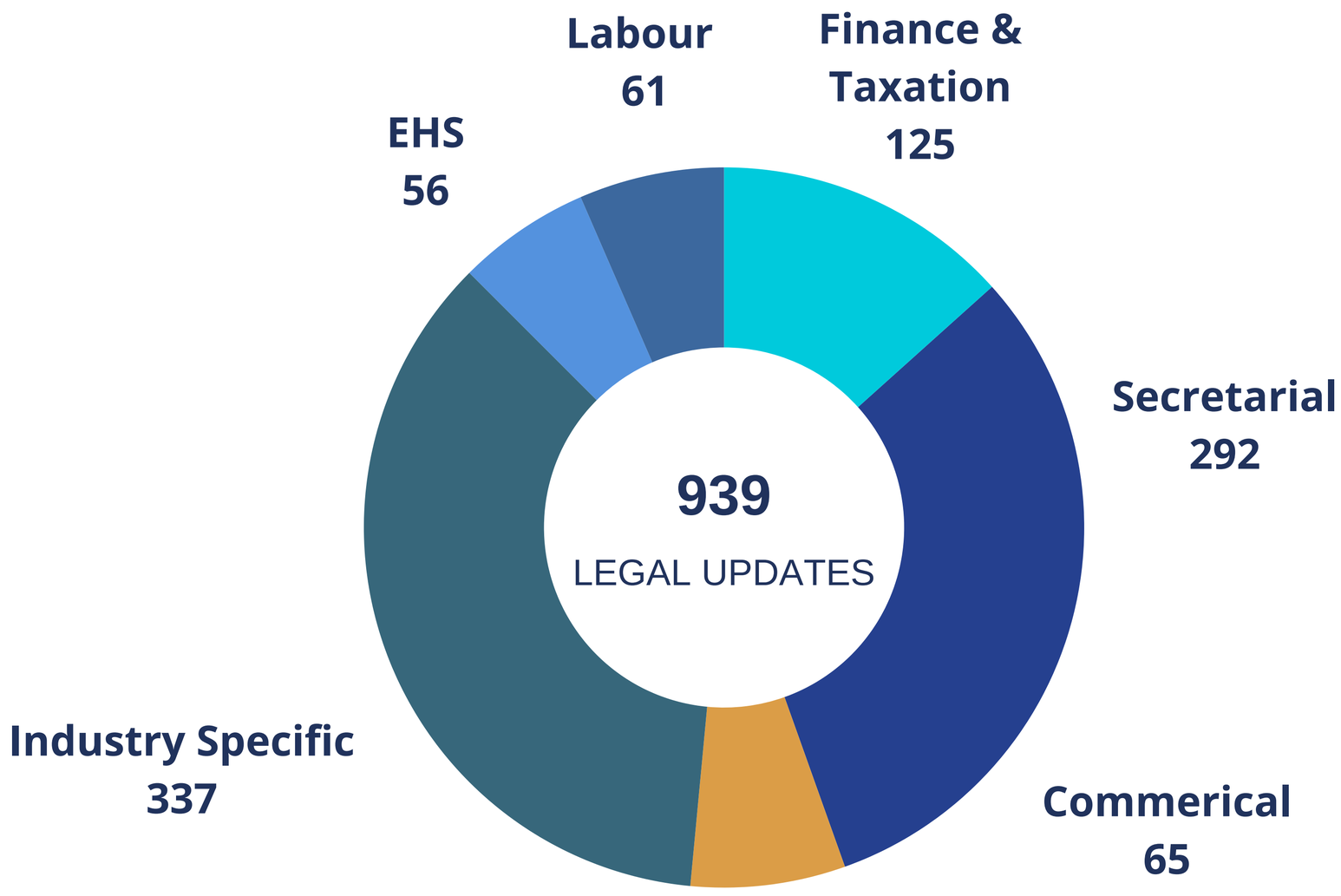


Fig1

Fig 2: Compliance Category Statistics



1 FINANCE AND TAXATION UPDATES

There were 125 regulatory updates in this category

- The Ministry of Finance (MoF), on October 30, 2026, issued the Income-tax (Eighth Amendment) Rules, 2026, further to amend the Income-tax Rules, 2026.

- The Goods and Services Tax Network (GSTN) on October 28, 2026, issued the Advisory - Scheduled Maintenance of e-Invoice Services (31 October - 1 November 2026).

- The Goods and Services Tax Network (GSTN) announced that services on the GST Portal will be enhanced from October 30, 2026, at 12:00 AM onwards. Accordingly, GST Portal services will remain unavailable during the maintenance period. Users are advised to plan their GST-related filings and compliance activities accordingly.

- The Central Board of Direct Taxes (CBDT), on October 19, 2026, has prescribed a new information-furnishing requirement for claiming specified exemptions on interest and capital gains from Government securities.

- The Goods and Services Tax Network (GSTN), on October 16, 2026, announced enhancements to the e-invoicing system following the recommendation of the 57th GST Council meeting.

- The Goods and Services Tax Network (GSTN), on October 09, 2026, notified that the 57th GST Council Meeting recommended reforms to GST Compliance, Registration and Enforcement.

- The Reserve Bank of India (RBI) on October 09, 2026 has published a Working Paper titled "Data Gaps in State-Level Inflation: An Assessment for the Covid Period", co-authored by Purnima Shaw and R. K. Sinha.

- The Central Board of Direct Taxes (CBDT) on October 8, 2026, has notified the Income-tax (Sixth Amendment) Rules, 2026, further amending the Income-tax Rules, 2026.

- The Central Board of Indirect Taxes and Customs (CBIC) on October 26, 2026, has clarified various issues concerning Input Service Distributor (ISD) registration and distribution of input tax credit (ITC) under Sections 2(61) and 20 of the CGST Act, 2017, and Rules 39 and 54(1A) of the CGST Rules, 2017.

- The Income Tax Department on October 27, 2026, issued a notification regarding exemption on Interest Income and Capital Gains from Government Securities.

- The Reserve Bank of India (RBI) on October 26, 2026, notified the Re-organisation of districts in the NCT of Delhi - Assignment and Review of Lead Bank Responsibility.

- The Reserve Bank of India (RBI) has introduced regulatory measures to strengthen market discipline, enhance risk management, and ensure orderly functioning of the foreign exchange market

- The Reserve Bank of India (RBI), updated as on October 1, 2026, has updated the Master Direction - Opening and Maintenance of Rupee/Foreign Currency Vostro Accounts of Non-resident Exchange Houses

- The Central Board Of Indirect Taxes And Customs (CBIC) on October 26, 2026, in exercise of the powers conferred under Section 1(2) (b) of the Finance Act, 2026 (Act No. 4 of 2026), has appointed November 1, 2026, as the date on which Sections 153 to 155 of the Finance Act, 2026, shall come into force.

- The Reserve Bank of India (RBI), on October 23, 2026, notified the Delegation of Compounding of certain Contraventions under FEMA, 1999 to the Regional Offices of the Reserve Bank.

Click here to view all Finance and Taxation legal updates



2 SECRETARIAL UPDATES

There were 292 regulatory updates in this category

- The Securities and Exchange Board of India (SEBI), on October 30, 2026, has rationalised the periodicity of KYC reviews for Foreign Portfolio Investors (FPIs) with a view to reducing compliance burden and promoting ease of doing business.

- The Central Depository Services (India) Limited (CDSL) on October 23, 2026, has notified Depository Participants (DPs) and Trading Members (TMs) of system changes to implement SEBI's revised provisions on handling clients' unpaid securities, pursuant to the operational guidelines issued by NSE and BSE on July 31, 2026.

- The Multi Commodity Exchange of India Limited (MCX) on October 16, 2026, issued an advisory informing trading members about common cybersecurity non-compliances identified during a special-purpose cyber revalidation audit conducted by the Securities and Exchange Board of India (SEBI), in coordination with stock exchanges, and through monitoring of brokers' systems using CERT-In's Cyber Swachhta Kendra (CSK) platform.

- The Ministry of Corporate Affairs (MCA) on October 26, 2026, announced the Changes to Additional Fee Waiver for FY 2025-26 Filings.

- The Bombay Stock Exchange (BSE) on September 30, 2026, notified the Submission of the Half-Yearly SaaS Compliance Report by Investment Advisers (IAs) for the audit period ended September 30, 2026.

- The Clearing Corporation of India Ltd. (CCIL) on October 01, 2026, notified regarding the contribution towards the Pre-funded Default Handling Resources -October 2026.

- The Bombay Stock Exchange (BSE) on October 08, 2026, notified regarding the Cyber Audit on a half-yearly basis for the audit period ending September 30, 2026.

- The Central Depository Services (India) Limited (CDSL) on October 9, 2026, announced a revised stamp duty validation mechanism for Invocation-cum-Early Pay-in (EP) transactions through its communiqué dated October 9, 2026.

- The Bombay Stock Exchange (BSE) on October 16, 2026, has announced the release of BOLT Pro TWS Version 13.70, effective October 19, 2026.



- The National Stock Exchange of India Limited (NSE) on October 16, 2026, issued an advisory on Common Cyber Security Non-Compliances Observed during Cyber Revalidation Audit and CSK Monitoring.

- The National Stock Exchange (NSE) notified on October 16, 2026, regarding the User ID - Additional Facility for Trading Members.

- The National Commodity Clearing Limited (NCCL) on October 15, 2026, pursuant to communication received from SEBI dated September 25, 2026, has informed clearing members about TRAI's decision to transition from the existing logger mode to blocker mode for SMS variable-field scrubbing.

- The National Commodity Clearing Limited (NCCL) on October 16, 2026, has announced the final settlement prices for futures contracts expiring on October 16, 2026, in accordance with Bye-laws 8.12.1 and Regulations 7.6.

- The Securities and Exchange Board of India (SEBI) on October 14, 2026, issued the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Third Amendment) Regulations, 2026, to further amend the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

- The Bombay Stock Exchange (BSE), on October 30, 2026, notified trading members regarding a mock trading session for the Commodity Derivatives segment scheduled for Saturday, October 31, 2026. Members using third-party trading platforms of empanelled vendors or in-house developed systems through ETI APIs may utilise the session to test their trading applications under various market conditions, including risk-reduction mode and trading halt

Click here to view all the Secretarial legal updates



3 COMMERCIAL UPDATES

There were 65 regulatory updates in this category

- The Directorate General of Foreign Trade (DGFT) on October 30, 2026, issued the amendment in the Export Policy of Agarwood under Chapter 12, Schedule 2 ITG (HS) Export Policy, 2022.

- The Bureau of Indian Standards (BIS), on October 6, 2026, under Rule 15(1) of the Bureau of Indian Standards Rules, 2018. The notification announces the establishment of revised and new Indian Standards across industrial equipment, maritime safety glass, freight containers, information technology, surgical instruments, electronic components and audiometric equipment.

- The Directorate General of Foreign Trade (DGFT) on October 22, 2026, issued the amendment under Para 2.92 and Appendix 2A of the Handbook of Procedures, 2023, for the inclusion of Tariff Rate Quotas (TRQs) under the India-New Zealand Free Trade Agreement (Ind-NZ FTA).

- The Ministry of Road Transport and Highways (MORTH) on October 23, 2026, issued guidelines regarding Upgradation, Maintenance and Smart Monitoring of Toilet Facilities and Parking at National Highway Toll Plazas.

- The Ministry of Road Transport and Highways (MORTH) on October 21, 2026, issued the Draft Central Motor Vehicles (.....Amendment) Rules, 2026, further to amend the Central Motor Vehicles Rules, 1989.

- The Ministry of Commerce and Industry (MoCI) on October 15, 2026, issued the Special Economic Zones (Third Amendment) Rules, 2026, to further amend the Special Economic Zones Rules, 2006.

- The Directorate General of Foreign Trade (DGFT) on October 12, 2026, issued amendment in Import Policy of Glufosinate and its salts covered under Chapter 38 of Schedule-I (Import Policy) of ITC (HS), 2022.



- The Ministry of Micro, Small and Medium Enterprises on October 12, 2026, has appointed the dates for commencement of specified provisions of the Micro, Small and Medium Enterprises Development (Amendment) Act, 2026, under Section 1(2) of the Act

- The Department for Promotion of Industry and Internal Trade (DPIIT) on October 01, 2026, issued the Draft Guidelines for Registration of Designs relating to Graphical User Interface (GUI) & Icon, etc., under the Designs Act, 2000.

- The Ministry of Commerce & Industry (MoC&I) on October 02, 2026, issued a notification regarding the extension of the RODTEP Scheme for exporters up to December 31, 2026.

- The Intellectual Property India on September 16, 2026, issued the Draft Guidelines for Filing a Geographical Indication (GI) Application.

- The Ministry of Consumer Affairs, Food and Public Distribution (MoCAF&PD) on October 08, 2026, issued a notification regarding uniform implementation of provisions relating to mandatory declarations under the Legal Metrology (Packaged Commodities) Rules, 2011 for Imported Pre-packaged Commodities.

- The Bureau of Indian Standards (BIS) on October 12, 2026, notified the date of establishment of the Indian Standards related to Robotics

- The Ministry of Commerce & Industry (MoC&I) on October 21, 2026, issued a notification regarding the release of the Core Industries Index for September 2026.

- The Directorate General of Foreign Trade (DGFT) on October 23, 2026, issued a notification regarding fixation of four new Standard Input-Output Norms (SIONS) at SION Nos. A-3715, A-3716, A-3717 & A-3718 under "Chemical and Allied Product" (Product Code-'A').

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4 INDUSTRY-SPECIFIC UPDATES

There were 337 regulatory updates in this category

- The Insurance Regulatory and Development Authority of India (IRDAI), on October 29, 2026, has announced "Grievance Resolution Month" during November 2026 to accelerate the meaningful resolution of pending policyholder grievances and disputes.

- The Ministry of Health and Family Welfare (MoHFW) on October 28, 2026, issued the Drugs (Thirteenth Amendment) Rules, 2026 to further amend the Drugs Rules, 1945.

- The Central Electricity Authority (CEA) on September 25, 2026, issued the Manual on Transmission Planning Criteria, 2026.

- The Ministry of Petroleum and Natural Gas (MoPNG) on October 19, 2026, has invited comments and suggestions from stakeholders and the public on the Draft Petroleum and Minerals Pipelines (Manner of Holding Inquiry and Adjudication of Penalties) Rules, 2026, framed under the Petroleum and Minerals Pipelines (Acquisition of Right of User in Land) Act, 1962, as amended by the Jan Vishwas (Amendment of Provisions) Act, 2026. The proposed Rules prescribe the procedure for conducting inquiries.

- The Ministry of Ports, Shipping, and Waterways (MoPS&W) on October 23, 2026, notified the Merchant Shipping (Ships and Port Facility Security) Rules, 2026.

- The Reserve Bank of India (RBI) on October 23, 2026, has issued the Reserve Bank of India (Commercial Banks - Forthcoming Instructions) Second Amendment Directions, 2026, amending the Directions dated November 28, 2025, concerning minimum capital requirements for operational risk.

- The Ministry of Communications issued the Telecommunications (Regulatory Sandbox) Rules, 2026, to facilitate innovation and technological development in the telecommunications sector.

- The Ministry of External Affairs on October 19, 2026, notified the Prevention and Suppression of Terrorism (Implementation of Security Council Resolutions) Fifth Amendment Order, 2026, further to amend the Prevention and Suppression of Terrorism (Implementation of Security Council Resolutions) Order, 2007.

- The Reserve Bank of India (RBI) on October 19, 2026, issued the Reserve Bank of India (Small Finance Banks Miscellaneous) Amendment Directions, 2026, to amend the Reserve Bank of India (Small Finance Banks Miscellaneous) Directions, 2025.

- The Central Bureau of Narcotics (CBN) on October 17, 2026, notified the Guidelines for International Travellers Carrying Narcotic Drugs and Psychotropic Substances for Personal Medical Use in India.

- The Food Safety and Standards Authority of India (FSSAI) on October 15, 2026, published draft amendments to the Food Safety and Standards (Foods for Infant Nutrition) Regulations, 2020, under Section 92 of the Food Safety and Standards Act, 2006, with the previous approval of the Central Government.

- The National Bank for Agriculture and Rural Development (NABARD) on October 8, 2026, issued revised operational guidelines for providing Short-Term (ST) refinance to State Cooperative Banks (StCBs) for financing Seasonal Agricultural Operations (SAO) and Additional Seasonal Agricultural Operations (ASAO).

- The Central Drugs Standard Control Organization (CDSCO) on October 09, 2026, issued Draft Guidance document for Manufacturers of In-vitro diagnostic Medical device for stakeholders comment.

- The Reserve Bank of India (RBI) on October 10, 2026, notified the Risk Management and Inter-Bank Dealings - Foreign Exchange Risk Reserve.

- The Ministry of Textiles (MoT) on October 08, 2026, issued the Central Silk Board (Second Amendment) Rules, 2026, to further amend the Central Silk Board Rules, 1955.

Click here to view all the Industry Specific legal updates



5 EHS (ENVIRONMENT, HEALTH AND SAFETY) UPDATES

There were 56 regulatory updates in this category

- The Ministry of Petroleum and Natural Gas (MoP&NG) on September 30, 2026, issued the Petroleum (Amendment) Bill, 2026.

- The Ministry of Commerce And Industry (MoCI) on October 14, 2026, issued the Explosives (Second Amendment) Rules, 2026 to further amend the Explosives Rules, 2008.

- The Ministry of Environment, Forest and Climate Change (MoEF&CC) on September 30, 2026, has issued the Guidelines on the Code of Conduct for Certified Environment Auditors (CEAs) and Registered Environment Auditors (REAs) under Rule 11(1), read with Rule 18, of the Environment Audit Rules, 2025.

- The Ministry of Environment, Forest and Climate Change (MoEF&CC) on October 12, 2026, issued press release on Zone-wise Preparedness of all 12 MCD Zone for Road Dust Mitigation and Deep Cleaning of Roads in Delhi which was reviewed by the CAQM.

- The Commission for Air Quality Management (CAQM) on October 07, 2026, has proposed mandating the registration of only electric three-wheelers (passenger and goods vehicles) across Delhi-NCR in a phased manner to reduce PM2.5 emissions and accelerate the transition to zero-emission mobility.

- The Petroleum and Explosives Safety Organisation (PESO) on October 09, 2026, notified the Test and statutory inspection of suspected modified LPG tankers through accredited third-party inspection agencies/competent persons for expeditious restoration of these tankers into service.

- The Department for Promotion of Industry and Internal Trade (DPIIT) on October 01, 2026, issued the Indian Boiler Regulations, 2026.

- The Petroleum and Explosives Safety Organisation (PESO) on September 02, 2026, issued a notification regarding the Destruction of used Ammonium Nitrate bags.

- The Commission for Air Quality Management (CAQM) on October 22, 2026, has reviewed Stubble Burning Prevention and Air Pollution Control Measures in Punjab and Haryana.

- The Commission for Air Quality Management (CAQM) on October 21, 2026, issued a Show-Cause Notice to Gurugram Authorities for Non-Compliance with Road Dust Control Directions.

- The Ministry of Road Transport and Highways (MORTH) on October 22, 2026, issued a notification regarding Dust-Control Measures and Highway Decongestion in Delhi-NCR for Air Pollution Control.

- The Ministry of Agriculture and Farmers' Welfare (MoA&FW) on October 16, 2026, issued a notification regarding New Livestock and Dog Breeds for Animal Husbandry and Conservation.

- The Ministry of Environment, Forest and Climate Change (MoEF&CC) on October 16, 2026, issued a notification regarding CAQM's review of stubble burning prevention measures in Punjab's Moga District.

- The Commission for Air Quality Management in NCR and Adjoining Areas (CAQM) on October 15, 2026, conducted a special inspection drive in Gurugram on October 14, 2026, to assess visible road dust and the effectiveness of road-cleaning and sweeping operations.

Click here to view all the EHS legal updates

6 LABOUR UPDATES

There were 64 regulatory updates in this category

- The Employees' State Insurance Corporation (ESIC), through an announcement dated October 29, 2026, has extended the New Amnesty Scheme 2025 by three months, from October 1, 2026 to December 31, 2026, on the existing terms and conditions.

- The Labour Bureau, Ministry of Labour and Employment on October 19, 2026, has released the All-India Consumer Price Index (CPI) Numbers for Agricultural Labourers (AL) and Rural Labourers (RL) for September 2026, with the base year 2019.

- The National Human Rights Commission (NHRC) on October 27, 2026, issued an advisory regarding Women's Safety at Workplaces and Public Spaces.

- The Ministry of Labour & Employment (MoLE) on October 13, 2026, urges eligible PF Trusts to apply under the One-Time Amnesty Scheme before December 28, 2026.

- The Pension Fund Regulatory and Development Authority (PFRDA) on October 26, 2026, notified the charge structure applicable to PoPs for All Schemes under NPS and NPS Lite.

- The Ministry of Labour and Employment (MoLE) on October 15, 2026, issued the amendment to the Employees' Pension Scheme, 2026.

- The Ministry of Rural Development (MoRD) on October 06, 2026, notified 375 Permissible Works under VB-G RAM G.

- The Board of Practical Training (BoPT) determine whether they can be processed for a subsequent apprenticeship contract through the National Apprenticeship Training Scheme (NATS).

- The Ministry of Social Justice and Empowerment (MoSJ&E) on September 24, 2026, issued the Draft Rights of Persons with Disabilities (Amendment) Rules, 2026 to further amend the Rights of Persons with Disabilities Rules, 2017.

- The Ministry of Labour & Employment (MoL&E) on September 30, 2026, issued a notification regarding the revision of Variable Dearness Allowance and Minimum Wages for Scheduled Employments.

- The Employees Provident Fund Organisation (EPFO) on October 07, 2026, issued Frequently Asked Questions on the revision of the EPFO Statutory Wage Ceiling.

- The Employees' State Insurance Corporation (ESIC) on October 27, 2026, notified the Extension of the New Amnesty Scheme, 2025.

Click here to view all the labour updates



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